

AUG 28 2003

Attorney Docket No.: 818/39806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 28 2003

Applicant: Hark C. Chan

Confirmation No. 2589

Technology Center 2600

Serial No.: 10/073,124

Art Unit: 2684

Filed: February 9, 2002

Examiner: William Cumming

For: INFORMATION DISTRIBUTION AND PROCESSING SYSTEM

**PETITION TO WAIVE THE REQUIREMENTS TO
SUBMIT COPIES OF PRIOR ART ALONG WITH AN IDS**

Allen Macdonald
Director Tech Center 2680

Sir:

Attached hereto is an IDS submitting material from defendant Symantec involved in a lawsuit with a parent-sister application of the instant application. The material includes three boxes containing papers, CD's, tapes and discs. This material is desired to be submitted in three of applicant's pending applications on the date of this request. A physical copy of the documents is provided in applicant's sister application Serial No. 10/079,257 (filed February 19, 2002). The same Examiner is handling all four applications.

In view of the size of the documents, it is believed that providing extra copies of the individual documents would only clutter up the USPTO space and would serve no useful purpose. Accordingly, it is requested that the Honorable Director waive the requirements of 37 CFR 1.98 to submit copies of the references listed in the attached IDS.

The fee for this petition and the attached IDS is hereby authorized to be charged to the Barnes & Thornburg Deposit Account No. 02-1010 (818/39806).

Respectfully submitted,

BARNES & THORNBURG



Mark M. Newman
Reg. No. 31,472
(202) 289-1313

Enclosures

Barnes & Thornburg
750 17th Street, N.W., Ste. 900
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(202) 289-1313
DCDS01 MMN 72794v1

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For: INFORMATION DISTRIBUTION AND PROCESSING SYSTEM

SUBMISSION UNDER 37 C.F.R. 1.56, 1.97 & 1.98
INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

To comply with the duty of disclosure set forth in 37 CFR 1.56, the attached PTO-1449 is submitted herewith to the Examiner for consideration in connection with the examination of the above-identified application. No copies of the prior art are attached as they have been submitted on the same date as this request in parent application Serial No. 10/079,257 filed February 19, 2002 as agreed to by the grant of the petition submitted herewith. Also attached is the headings of three litigations involving a related application as per 2001.06(c) MPEP.

No fee for this IDS is necessary since no Office Action has issued.

Respectfully submitted,

BARNES & THORNBURG



Mark M. Newman
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Attorney Docket No.: 818/39806

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hark C. Chan Confirmation No. 2589
Serial No.: 10/073,124 Art Unit: 2684
Filed: February 9, 2002 Examiner: William Cumming
For: INFORMATION DISTRIBUTION AND PROCESSING SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

The attached references were cited by Examiner Cumming in a related application of application. Making them of record in this application is requested.

Since no Office Action has issued, no fee is believed due.

Respectfully submitted,

BARNES & THORNBURG



Mark M. Newman
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(202) 289-1313

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. 818/39806	SERIAL NO. 10/073,124
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		APPLICANT Hark C. Chan	FILING DATE February 9, 2002
			ART UNIT: 2684

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER PRIOR ART (Including Author, Title, Date Pertinent Pages, Etc.)

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
LIST OF DOCUMENTS CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		ATTY DOCKET NO.	SERIAL NO.
		818/39806	10/073,124
		APPLICANT	
		Hark C. Chan	
FILING DATE	ART UNIT:		
February 9, 2002	2684		

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,157,783	10/20/92	Anderson et al.			
	AB	5,694,546	12/2/97	Reisman			
	AC	5,528,490	6/18/96	Hill			
	AD	4,965,825	10/23/90	Harvey			
	AE	5,887,243	3/23/99	Harvey			
	AF	5,361,399	11/1/94	Linquist et al.			
	AG	4,835,683	5/30/89	Phillips			
	AH	5,128,981	7/7/92	Tsukamoto			
	AI	5,555,407	9/10/96	Cloutier et al.			
	AJ	5,155,847	10/13/92	Kirouac et al.			
	AK	4,873,552	10/10/89	Sargent			
	AL	5,668,592	9/16/97	Spaulding			
	AM	4,899,292	2/6/90	Montagna et al.			
	AN	5,559,936	9/24/96	Poulter et al.			
	AO	5,265,065	11/23/93	Turtle			
	AP	5,418,948	5/23/95	Turtle			
	AQ	5,488,725	1/30/96	Turtle			
	AR	6,125,388	9/26/00	Reisman			
	AS	5,543,789	8/6/96	Behr et al.			
	AT	5,506,984	4/9/96	Miller			
	AU	5,668,950	9/16/97	Kikuchi et al.			
	AV	6,044,365	3/28/00	Cannon et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							Yes	No

OTHER PRIOR ART (Including Author, Title, Date Pertinent Pages, Etc.)

	AW	Apple Computer, Inc., AppleLink 6.1 and related documentation, June 1991
	AX	Symantec Antivirus for Macintosh 4.0 and related documentation (SAM 4.0)
	AY	Michael Mascha and Gary Seaman, "Interactive Education: Transitioning CD-ROMs to the Web", May 25-27, 1994 (Virtual Chimps)
	AZ	Intuit, Inc., Packet Drivers CD (Packet Drivers or PCD) and related documentation, January 1994
	AAB	Intuit, Inc., Quicken Deluxe product (Quicken Deluxe) and related documentation, January 1994
	AAC	Intuit, Inc., Quicken Deluxe product (Quicken Deluxe) and related documentation, January 1994

	AAD	Intuit, Inc., Quicken Deluxe product (Quicken Deluxe) and related documentation, January 1994
	AAE	CCH, Inc., CCH Access and related documentation, 1993
	AAF	CCH, Inc., CCH Access and related documentation, 1993
	AAG	CompuServe, CompuServe CD (CCD) and related documentation, May 1994
	AAH	Walnut Creek CD-ROM, FreeBSD (FCD) and related documentation, May 1994
	AAI	Dialog Corp., Dialog On Disc. and related documentation, January 1993
	AAJ	Dialog Corp., Dialog On Disc. and related documentation, January 1993
	AAK	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAL	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAM	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAN	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAO	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAP	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAQ	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAR	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAS	Microsoft, Microsoft Complete Baseball (Complete Baseball) and related documentation, May 1994
	AAT	David Raggett, WWW Discussion Group Request for Comments, "HTML (Hypertext Markup Language)," July 12, 1993
	AAU	John P. Hayes, "Computer Architecture and Organization," January 1998
	AAV	Pei Wei, ViolaWWW and related documentation, May 1992
	AAW	Phillips Multimedia, "Exploring Hybrid World of CD-ROM/On-Line Products," Multimedia Week, Vol. 3, No. 10, March 7, 1994
	AAX	Research Institute of America, RIA OnPoint, January 1993
	AAY	NFL Pro League Football, "Keyboards Dream Teams," sec. BONUS, p. 4E, August 30, 1991
	AAZ	British National Libraries Project
	ABA	Law Technology Journal, "The Link Between CD-Rom and Online, Vol. 2, No. 2, May 1993, at 13. (Justis Article)
	ABB	Sjoerd Vogt, "CD-ROM and Online-Competitors or Compatriots? An Online Host's Point of View," Online Information 92 at 33 (David I. Raft ed., 16 th International Online Information Meeting Proceedings, London, Dec. 8-10, 1992)

	ABC	Sjoerd Vogt, "CD-ROM and Online-Competitors or Compatriots? An Online Host's Point of View," <i>Online Information</i> 92 at 33 (David I. Raitt ed., 16 th International Online Information Meeting Proceedings, London, Dec. 8-10, 1992)
	ABD	Paul D. Warner, "Tax Services and Tax Forms on CD-ROM," <i>CPA Journal</i> , November 1993, at 48
	ABE	Walnut Creed CD-ROM, Internet Info CD, March 1994
	ABF	Gorillas in the Disc CD-ROM
	ABG	Gorillas in the Disc Documentation
	ABH	File Folder - PR 125 A112 Apple Computer, Inc. - Letter and Encrypted Software Distribution and Vending Agreement
	ABI	Scenarios 1-6 and SAM Screen Captures
	ABJ	Premise Quick Reference Card
	ABK	Premise User Manual
	ABL	Premise User Manual
	ABM	Reference Manual Revised Edition - West CD Rom Libraries
	ABN	Premise User Packet Including the following (through 9722): Premise User Packet - Front and Back Cover Premise Software - Training Course Enclosed Premise Quick Reference Card Premise Software Training Course Floppy Disks 1-3
	ABO	West's CD-Rom Communiqué Special Issue - Spring 1991
	ABP	QuickScan on Premise
	ABQ	Making the Easy Transition to Premise 1.41
	ABR	Premise Notebook Screen Captures
	ABS	Software Dispatch CD and Related Documentation
	ABT	G. Donald Bain, "Pinpointing Differences Between Atlas Pro, Map Info.," <i>MacWeek</i> , Vol. 6 (March 9, 1992), p. 32
	ABU	Christopher Barr, "On-line to Tomorrowland," <i>PC Magazine</i> (Jan. 25, 1994), p. 30
	ABV	Christopher Barr, "Buy? Hold? Sell? MarketArts Windows on Wall Street," <i>PC Magazine</i> , Vol. 13, No. 11 (June 14, 1994), p. 30
	ABW	Gayle Bryant, "Combining Online and Disc," <i>Online & CD-ROM Review</i> , Vol. 17, No. 6 (Dec. 1993), pp. 386-98
	ABX	"Microsoft Continues Home Momentum With Nine New Products; Showcases New Products and Previews First Online Enhancement to CD-ROM Product," <i>Business Wire</i> (Mar. 1, 1994)
	ABY	"CD-Rom With Baseball Daily Online To Hit Stores as Microsoft Home's First Complete Sports Software; Comprehensive, Multimedia Guide to America's Favorite Pastime Is an Official Publication of Major League Baseball," <i>Business Wire</i> (June 10, 1994)

	ABZ	Cary Griffith, "The Federal Register on CD-ROM," <i>Information Today</i> , Vol. 9, No. 11 (Dec. 1992), pp. 32-34
	ACA	"Automatic Hypermedia Link Generation," <i>IBM Technical Disclosure Bulletin</i> , Vol. 35, No. 1A (June 1992), pp. 447-48
	ACB	"Fully Digital GML based Authoring and Delivering System for Hypermedia," <i>IBM Technical Disclosure Bulletin</i> , vol. 35, No. 2 (July 1992), pp. 458-463
	ACC	"Local Area Network Program Distribution Facility," <i>IBM Technical Disclosure Bulletin</i> , Vol. 33, No. 5 (Oct. 1990), pp. 169-71
	ACD	"S&P And Dialog Introduce Company Information On CD-ROM; Standard & Poor's Corp., CD-ROM Disc," <i>Information Today</i> , Vol. 5, No. 6 (June 1988), p. 22
	ACE	"FT adds features, loses manager," <i>Information World Review</i> , No. 84 (Sept. 1993)
	ACF	"Reviews/Products Comparison," <i>InfoWorld</i> (Dec. 7, 1992), p. 86
	ACG	Gregg Keizer, "MECA's Managing Your Money Breaks Into the Windows Market," <i>PC Magazine</i> , Vol. 13, No. 12 (June 28, 1994), p. 37.
	ACH	Gregg Keizer, "Digital Dollars & Silicon Cents," <i>PC Magazine</i> (Jan. 25, 1994), pp. 235-244
	ACI	Ron Lietzke, "On-line information Goes Off-Line, Too," <i>Columbus Dispatch</i> (June 3, 1994)
	ACJ	"Database Management," <i>MacUser</i> , Vol. 8, No. 13 (Jan. 1993), p. 103
	ACK	Richard Mann, "Quicken 3 for Windows; Productivity Choice; Software Review; Evaluation," <i>Computel</i> , Vol. 16, No. 4 (April 1994), p. 76
	ACL	John Marcus, "Wilson Business Abstracts On CD-Rom for the Corporate Library," <i>Database</i> , Vol. 17, No. 3 (June 1994), pp. 52-55
	ACM	Kirsten L. Parkinson, "Nautilus CD Adds Magazine-Like Interface; Product Announcement," <i>MacWeek</i> , Vol. 7, No. 41 (Oct. 18, 1993), p. 12
	CAN	"CompuServe Incorporated and Metatec Corporation Announce Strategic Alliance," <i>PR Newswire</i> (Sep. 20, 1993)
	ACO	"New CompuServe CD-ROM Brings the Power of Multimedia to Online Computing," <i>PR Newswire</i> (June 1, 1994)
	ACP	Salvatore Salamone, "Electronic software Distribution: Diamond in the Rough," <i>Data Communications</i> (March 1993), pp. 109-116
	ACQ	Barry Simon, "Pipeline: Enter the Internet," <i>PC Magazine</i> (Feb. 8, 1994), p. 46
	ACR	Alan L. Slay, "Money Management Cures," <i>MacWorld</i> (March 1991), pp. 1252-158
	ACS	Jeffrey A. Steinberg, "GeoQuery," <i>MacUser</i> , Vol. 8, No. 10 (Oct. 1992), p. 58
	ACT	Sandy Sugawara, "Microsoft's very big ballpark estimate; Software giant's 'complete baseball' opens a window on its ambitious on-line plans," <i>Washington Post</i> (May 7, 1994)
	ACU	Mary A. Vaughn and Brad Warnick, "Publishing on CD-ROM: The NautilusCD Experience," <i>CD-ROM Professional</i> , Vol. 7, No. 3 (May/June 1994), pp. 69-68

	ACV	"Column: Windows Analytics," <i>Wall Street & Technology</i>
	ACW	Unknown Article, <i>Wall Street & Technology</i>
	ACX	"Microsoft CD-ROM Yearbook: 1989-1990" Microsoft (1990), pp. 163-170
	ACY	Reality's "Wealth Builder 3.0 by Money Magazine," User's Guide (1992)
	ACZ	Reality's "Wealth Builder 3.0 by Money Magazine," User's Guide (1992)
	ADA	Money 3.0 Various Documents
	ADB	Money 3.0 Various Documents
	ADC	Money 3.0 Various Documents
	ADD	Peter Scisco, "Pigskin preview; computer football games; Software Review; Evaluation," <i>Compute!</i> , Vol. 14, No. 6 (Sep. 1992), p. 92
	ADE	Jack Warner, "Sports Computer Games; Several new football games out," <i>The Atlanta Journal and Constitution</i> (Nov. 17, 1991)
	ADF	Rink Murray, "Earl Weaver Baseball II; Software Review; one of four baseball simulation games reviews in '386 Down the Power Alleys," <i>PC Magazine</i> , Vol. 11, No. 8 (Apr. 26, 1992), p. 493
EXAMINER:		DATE CONSIDERED:
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